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APPLICATION NO.		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/607,809	10/607,809 06/27/2003		Leonard Katz	300622004810	6321
25225	7590	11/17/2006		EXAMINER	
		ERSTER LLP	ROBINSON, HOPE A		
SUITE 100	12531 HIGH BLUFF DRIVE SUITE 100			ART UNIT	PAPER NUMBER
SAN DIEG	O, CA 9	2130-2040		1652	
				DATE MAILED: 11/17/2006	ς.

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)					
		10/607,809	KATZ ET AL.					
	Office Action Summary	Examiner	Art Unit					
		Hope A. Robinson	1652					
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address							
Period for Reply								
WHIC - Exter after - If NO - Failu Any r	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATES are not so time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. In period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be tir ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. ED (35 U.S.C.§ 133).					
Status								
1)[🛛	Responsive to communication(s) filed on 28 Au	igust 2006.						
·	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.							
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Disposition of Claims								
4)🖂	4)⊠ Claim(s) <u>1-19</u> is/are pending in the application.							
	4a) Of the above claim(s) <u>3-6</u> is/are withdrawn from consideration.							
5)□	5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) <u>1,2 and 7-19</u> is/are rejected.							
6)⊠								
·	Claim(s) is/are objected to.							
8)	8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers								
9) The specification is objected to by the Examiner.								
10)⊠ The drawing(s) filed on <u>23 October 2004</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority u	ınder 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:								
	1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority documents have been received in Application No							
	3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
			•					
Attachment		<b>.</b> □	(DTO 442)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date								
3) 🛛 Inform	nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date <u>8/28/06</u> .	5)  Notice of Informal P	atent Application					

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## **DETAILED ACTION**

## **Application Status**

- 1. The Art Unit location of your application in the USPTO has changed. To aid in correlating any papers for this application, all further correspondence regarding this application should be directed to Art Unit 1652.
- Applicant's response to the Office Action mailed March 24, 2006 on August 28,
   acknowledged.

# Claim Disposition

3. Claims 1-19 are pending. Claims 7-19 have been added. Claims 1-2 and 7-19 are under examination.

# Withdrawn-Specification Objection

4. Previous objections to the specification are <u>withdrawn</u> by virtue of submission of an amendment.

# Withdrawn- Abstract Objection

5. Previous objection to the abstract is <u>withdrawn</u> by virtue of submission of an amendment.

## Withdrawn- Claim Objection

6. Previous objection to claim 2 is <u>withdrawn</u> by virtue of submission of an amendment.

#### Information Disclosure Statement

7. The Information Disclosure Statement filed on August 28 15, 2006 has been received and entered. The references cited on the PTO-1449 Form have been considered by the examiner and a copy is attached to the instant Office action.

# Maintained and Amended-Claim Rejections - 35 USC ∋ 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title.

8. Claims 2 and 10-19 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claims 2 and 10-19 are drawn to a host cell, which reads on a product of nature. The claims should be amended to indicate the hand of the inventor, for example the insertion of recombinant, isolated or purified in connection with the host cell to identify a product not found in nature (see MPEP 2105).

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# Claim Rejections - 35 USC ∋ 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

9. Claims 1-2 and 7-19 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The claimed invention is directed to a recombinant polyketide synthase gene and host cell comprising same. The claims are described by functional properties, not by structural properties *per se*. It is noted that the specification provides specific sequences, however, none is recited in the claims. For example, claim 2 recites *ccr* and *icm* genes and the claim does not set forth whether the *ccr* gene is derived from *Streptomyces collinus* or *Streptomyces coelicolor* for example, or provide a structure that corresponds to the genes. In addition, the art recognizes *icmA* and *icmb*, however, the claims do not delineate the specific gene referred to. Therefore, the skilled artisan cannot envision the detailed chemical structure encompassed in the claims.

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An applicant shows possession of the claimed invention by describing the claimed invention with all of its limitations using such descriptive means as words, structures, figures, diagrams, and formulas that fully set forth the claimed invention. See *Lockwood v. American Airlines, Inc., 107 F.3d 1565, 1572, 41 USPQ2d 1961, 1966* (*Fed. Cir.1997*). Therefore, a biomolecule sequence described only by a functional characteristic, without any known or disclosed correlation between that function and the structure of the sequence, normally is not a sufficient identifying characteristic for written description purposes, even when accompanied by a method of obtaining the claimed sequence. For example, even though a genetic code table would correlate a known amino acid sequence with a genus of coding nucleic acids, the same table cannot predict the native, naturally occurring nucleic acid sequence of a naturally occurring mRNA or its corresponding cDNA. *See MPEP 2163*.

Therefore, for all these reasons the specification lacks adequate written description, and one of skill in the art cannot reasonably conclude that the applicant had possession of the claimed invention at the time the instant application was filed.

# Withdrawn-Claim Rejections - 35 USC ∋ 102

10. Previous rejection to claims under 35 U.S.C. 102, is <u>withdrawn</u> by virtue of arguments.

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11. Previous rejection to claims under 35 U.S.C. 103, Obvious-Type Double

Patenting is <u>withdrawn</u> by virtue of arguments presented and submission of a Terminal

Disclaimer.

### Response to Arguments

The response filed on August 28, 2006 has been considered, however, is not fully persuasive. With regard to the rejection under 35 U.S.C. 101, pertaining to the insertion of "isolated host cell", the rejection remains. Applicant's arguments that the term "recombinant nucleic acid" recited in the claims means that the host displays the hand of man is not persuasive. The recitation of "recombinant nucleic acid" does not make the host cell an "isolated host cell", said cell containing the recited nucleic acid could still be contained in a whole organism, for example a human being. Thus, the rejection remains and the newly submitted claims have been added as they do not rectify the deficiency. Note that the rejection under 35 U.S.C. 112, first paragraph has been maintained. Applicant state that what is well known in the art doesn't need to be recited in the claim. Applicant needs to provide the structure for the specifically claimed invention, otherwise applicant's statements could be construed as admitted prior art. At present the claims read on a genus of polyketides and the instant specification discloses a sequence for the specific ones, which is not recited in the claims. Thus, the claimed invention lacks adequate written description for the genus encompassed in the claims. Therefore, the rejection remains.

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#### Conclusion

13. No claims are allowable.

14. Applicant's amendment necessitated the new/modified ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hope A. Robinson whose telephone number is 571-272-0957. The examiner can normally be reached on Monday-Friday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy, can be reached at (571) 272-0928. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hope Robinson, MS-11/1/86

Patent Examiner

HOPE ROBINSON PRIMARY EXAMINER